



Sector ~~X~~ #
#13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert A. Foster
Assignee: Financial Systems Technology Pty. Ltd.
Title: DATA PROCESSING SYSTEM FOR COMPLEX PRICING AND TRANSACTIONAL ANALYSIS
Serial No.: 09/535,573 Filed: 03/27/2000
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-4540-1C US

San Jose, California

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
APPLICATION - FILING DATE GRANTED**

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on July 6, 2000, the following documents are enclosed to complete the filing of the above-identified patent application:

Copy of Notice to File Missing Parts of Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

1.	Surcharge for filing fee on a date later than the filing date of the application.	\$130.00
2.	Statutory basic filing fee.	\$690.00
3.	Additional Claim Fees	\$360.00
3.	TOTAL FEES:	\$1,180.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

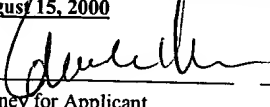
663325 v1

- 1 -

SER. NO. 09/535,573

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of March 27, 2000. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on August 15, 2000

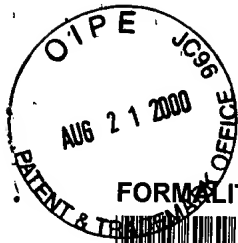

Attorney for Applicant

8/15/2000
Date of Signature

Respectfully submitted,



Edward C. Kwok
Attorney for Applicant
Reg. No. 33,938



FORMALITIES LETTER

OC00000005221693

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

file:///c:/APPS/preexam/correspondence/2.htm

101
103
105
20

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/535,573	03/27/2000	Robert A. Foster	M-4540-1C us

Edward C Kwok
Skjerven Morrill MacPherson Franklin & Friel LLP
25 Metro Drive Suite 700
San Jose, CA 95110

Date Mailed: 07/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

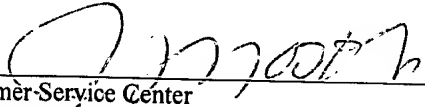
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$360.
■ \$360 for 20 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1180.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/25/2000 RACNDHF1 00000014 192386 09535573

01 FC:101 690.00 CH
02 FC:103 360.00 CH
03 FC:105 130.00 CH